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TO: Commissioner for Patents
Attn: Examiner Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

FROM: Douglas P. Mueller

OUR REF: 10873.0498USD1
TELEPHONE: 612.371.5237

Total pages, including cover letter: 6

PTO FAX NUMBER (703) 872-9306

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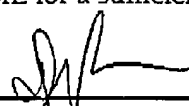
Title of Document Transmitted:

SUPPLEMENTAL INFORMATION


DISCLOSURE STATEMENT (37 C.F.R.
§ 1.97(b)); FORM 1449; PTO-892 for
Application Serial No. 10/428564 and
Application Serial No. 09/491100

Applicant: NAKATA et al.
Serial No.: 10/717685
Filed: November 19, 2003
Group Art Unit: 2652
Our Ref. No.: 10873.0498USD1
Confirmation No. 9324

Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

By: 
Name: Douglas P. Mueller
Reg. No.: 30,300

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Sarah Monfeldt

May 27, 2004
Date

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S/N 10/717685

MAY 27 2004

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	NAKATA et al.	Examiner:	unknown
Serial No.:	10/717685	Group Art Unit:	2652
Filed:	November 19, 2003	Docket No.:	10873.0498USD1
Title:	OPTICAL HEAD AND METHOD OF MANUFACTURING THE SAME		

CERTIFICATE UNDER 37 CFR 1.8(b):

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on May 27, 2004.

By:

Name: Sarah Menfeldt

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. Applicant wishes to call the Examiner's attention to co-pending, U.S. Patent Application Serial No. 09/491100, filed January 25, 2000 and 10/428564, filed May 2, 2003.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

A copy of any foreign patent document or "Other Document" listed on the Form 1449 is enclosed, in accordance with 37 C.F.R. § 1.98(a)(2). Because this application was filed after June 30, 2003, copies of the U.S. Patents and U.S. patent publications listed on the enclosed Form 1449 are not provided.

A concise explanation of the relevance of each non-English language document or other information is as follows (37 C.F.R. § 1.98(a)(3)):

Enclosed are copies of the PTO-892 for co-pending Application Serial No. 10/428564, filed May 2, 2003, and co-pending Application Serial No. 09/491100, filed January 25, 2000, citing references.

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No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicant(s) do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,



MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, Minnesota 55402-0903
(612) 332-5300

Dated: May 27, 2004

By

A handwritten signature in black ink, appearing to read "Douglas P. Mueller", written over a horizontal line.

Douglas P. Mueller
Reg. No. 30,300

DPM:mmm

Date Mailed: May 27, 2004

Sheet 1 of 1

FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: 10873.0498USD1	Application Number: 10/717685
	Applicant: NAKATA et al.	
	Filing Date: November 19, 2003	Group Art Unit: 2652

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	US 4,959,820	Sept. 1990	HORIMAI et al.				
	US 4,596,444	June 1986	USHIDA				
	US 5,787,067	July 1998	SONG				
	US 5,581,524	Dec. 1996	FUJINO				
	US 5,986,983	Nov. 1999	SIMPSON et al.				
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							

23552

PATENT TRADEMARK OFFICE

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

*Substitute Disclosure Statement Form (PTO-1449)

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PAGE 4/6 * RCVD AT 5/27/2004 5:37:55 PM [Eastern Daylight Time] * SVR:USPTO-EFXXRF-1/0 * DNIS:8729306 * CSID:6123329081 * DURATION (mm-ss):01-50

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Notice of References Cited	Application/Control No. 09/491,100	Applicant(s)/Patent Under Reexamination NAKATA ET AL.	
	Examiner Kim-Kwok CHU	Art Unit 2653	Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-5,982,564 ✓	11-1999	Nagai, Koichi	359/814
	B	US-5,005,162 ✓	04-1991	Mitsumori et al.	369/44.12
	C	US-4,959,820	09-1990	Horimai et al.	369/13.15
	D	US-4,734,899 ✓	03-1988	Van Sluys, Robert N. J.	369/44.22
	E	US-4,596,444	06-1986	Ushida, Takashi	359/813
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

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	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(e).)
 Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office
 PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 7

Notice of References Cited

Application/Control No.

10/428,564

Applicant(s)/Patent Under
Reexamination
NAKATA ET AL.

Examiner

Kim-Kwok CHU

Art Unit

2653

Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-5,787,067	07-1998	Song, Ki-Seog	369/247
	B	US-5,581,524	12-1996	Fujino, Masahisa	369/44.27
	C	US-5,986,983	11-1999	Simpson et al.	369/44.14
	D	US-4,959,820 ✓	09-1990	Horimai et al.	369/13.15
	E	US-4,596,444 ✓	06-1986	Ushida, Takashi	359/813
	F	US-			
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 Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.